



JACOBS
UNIVERSITY

How to Determine the Set of Rational Points on a Curve

Michael Stoll

Jacobs University Bremen

MEGA 2007, Strobl, June 28, 2007

The Problem

Let C be a curve defined over \mathbb{Q} .

(We take \mathbb{Q} for simplicity; we could use an arbitrary number field instead.)

Problem.

Determine $C(\mathbb{Q})$, the set of **rational points** on C !

Since a curve and its smooth projective model only differ in a computable finite set of points, we will assume that C is **smooth and projective**.

The Structure of the Solution Set

The **structure** of the set $C(\mathbb{Q})$ is determined by the **genus** g of C .
(“Geometry determines arithmetic”)

- $g = 0$:

Either $C(\mathbb{Q}) = \emptyset$, or if $P_0 \in C(\mathbb{Q})$, then $C \cong \mathbb{P}^1$.

The **isomorphism** parametrizes $C(\mathbb{Q})$.

- $g = 1$:

Either $C(\mathbb{Q}) = \emptyset$, or if $P_0 \in C(\mathbb{Q})$, then (C, P_0) is an **elliptic curve**.

In particular, $C(\mathbb{Q})$ is a **finitely generated abelian group**.

$C(\mathbb{Q})$ is described by **generators** of the group.

- $g \geq 2$:

$C(\mathbb{Q})$ is **finite**.

$C(\mathbb{Q})$ is given by **listing** the points.

Genus Zero

A smooth projective curve of genus 0 is (computably) isomorphic to a smooth **conic**.

Conics C satisfy the **Hasse Principle** :

If $C(\mathbb{Q}) = \emptyset$, then $C(\mathbb{R}) = \emptyset$ or $C(\mathbb{Q}_p) = \emptyset$ for some prime p .

We can **effectively check** this condition:

we only need to check \mathbb{R} and \mathbb{Q}_p when p divides the discriminant.

For a given p , we only need finite p -adic precision.

(Note: we need to factor the discriminant!)

At the same time, we **can find** a point in $C(\mathbb{Q})$, if it exists.

Given $P_0 \in C(\mathbb{Q})$, we **can compute** an isomorphism $\mathbb{P}^1 \rightarrow C$.

Genus One

Given a curve C of genus 1,
we can still check effectively whether C has points over \mathbb{R} and over all \mathbb{Q}_p .

However, the Hasse Principle **may fail**.

If we can't find a rational point, but C has points “everywhere locally”,
we can try **coverings**.

Over $\bar{\mathbb{Q}}$, C is isomorphic to an elliptic curve E .

We consider coverings $D \rightarrow C$ that over $\bar{\mathbb{Q}}$ are isomorphic to $E \xrightarrow{\cdot n} E$.

Up to \mathbb{Q} -isomorphism, there are only **finitely many** such **n -coverings**
such that D has points everywhere locally.

If this finite set is **empty**, then $C(\mathbb{Q}) = \emptyset$.

Genus One — Coverings

If C has a rational point P ,
it will **lift** to one of the n -coverings D ,
where it should be **“smaller”**, hence can be found **more easily**.

In general, we can **repeat** the procedure with the covering curves D .

If the **Shafarevich-Tate group** of the Jacobian elliptic curve E is **“nice”**
(e.g., finite — this is a conjecture), then eventually, we will be successful.

In practice, this is feasible only in a few cases:

- $y^2 = \text{quartic in } x$ and $n = 2$;
- intersections of two quadrics in \mathbb{P}^3 and $n = 2$;
- plane cubics and $n = 3$ (current PhD project).

Elliptic Curves

Now assume that we have found a rational point P_0 on C . Then (C, P_0) is an **elliptic curve**, which we will denote E .

We know that $E(\mathbb{Q})$ is a **finitely generated abelian group**; the task is now to find explicit generators.

The hard part is to determine the **rank** $r = \dim_{\mathbb{Q}} E(\mathbb{Q}) \otimes_{\mathbb{Z}} \mathbb{Q}$.

$E(\mathbb{Q})/nE(\mathbb{Q})$ **injects** into the set of n -coverings of E with points everywhere locally.

This gives us **upper bounds** on r .

The bound may fail to be sharp:

the obstruction comes from the **Shafarevich-Tate group** of E .

Elliptic Curves — Finding Points

To get **lower bounds** on r , we can **search** for points on E .

However, generators may be **very large** and cannot be found by search.

We can use the coverings to find rational points on E :
every point in $E(\mathbb{Q})$ **lifts** to an n -covering, where it is **much smaller**.

To make use of this idea,
we need **explicit** and **nice** models of the covering curves.

There is some interesting algebraic geometry behind these methods, see
J.E. Cremona, T.A. Fisher, C. O'Neil, D. Simon, M. Stoll:
Explicit n -descent on elliptic curves.

This “ **n -descent**” is feasible for $n = 2, 3, 4, 8$; $n = 9$ is current work.

Higher Genus — Finding Points

Now consider a curve C of genus $g \geq 2$.

The first task is to decide whether C has any rational points.

If there is a rational point, we can **find** it by **search**.

Unlike the genus 1 case, there seems to be a reasonably small point.

Example (Bruin-St).

Consider $C : y^2 = f_6x^6 + \cdots + f_1x + f_0$ of **genus 2**
such that $f_j \in \{-3, -2, \dots, 3\}$.

If C has rational points,

then there is one whose x -coordinate is p/q with $|p|, |q| \leq 1519$.

Higher Genus — Local Points

If we don't find a rational point on C ,
we can again check for local points (over \mathbb{R} and \mathbb{Q}_p).

Example (Poonen-St).

We expect about **85 %** of all curves of genus 2
to have points everywhere locally.

So in many cases, this will **not suffice** to prove that $C(\mathbb{Q}) = \emptyset$.

Example (Bruin-St).

Among the **196 171** isomorphism classes of “small” genus 2 curves,
there are **29 278** that are counterexamples to the Hasse Principle.

Coverings Again

To resolve these cases, we can again use **coverings**.

Example.

Consider $C : y^2 = g(x)h(x)$ with $\deg g, \deg h$ even.

Then $D : u^2 = g(x), v^2 = h(x)$

is an unramified $\mathbb{Z}/2\mathbb{Z}$ -covering of C .

Its **twists** are $D_d : du^2 = g(x), dv^2 = h(x), d \in \mathbb{Q}^\times / (\mathbb{Q}^\times)^2$.

Every rational point on C **lifts** to one of the twists,
and there are only **finitely many** twists
such that D_d has points everywhere locally.

Example

Consider the genus 2 curve

$$C : y^2 = -(x^2 + x - 1)(x^4 + x^3 + x^2 + x + 2) = f(x).$$

C has points **everywhere locally**

$$(f(0) = 2, f(1) = -6, f(-2) = -3 \cdot 2^2, f(18) \in (\mathbb{Q}_2^\times)^2, f(4) \in (\mathbb{Q}_3^\times)^2).$$

The relevant twists of the obvious $\mathbb{Z}/2\mathbb{Z}$ -covering are

$$d u^2 = -x^2 - x + 1, \quad d v^2 = x^4 + x^3 + x^2 + x + 2$$

where d is one of **1, -1, 19, -19**.

If $d < 0$, the second equation has no solution in \mathbb{R} ;

if $d = 1$ or 19 , the pair of equations has no solution over \mathbb{F}_3 .

So there are no relevant twists, and **$C(\mathbb{Q}) = \emptyset$** .

Descent

More generally, we have the following result.

Descent Theorem.

Let $D \xrightarrow{\pi} C$ be an **unramified** and **geometrically Galois** covering.

Its **twists** $D_\xi \xrightarrow{\pi_\xi} C$ are parametrized by $\xi \in H^1(\mathbb{Q}, G)$

(a Galois cohomology set), where G is the Galois group of the covering.

We then have the following:

- $C(\mathbb{Q}) = \bigcup_{\xi \in H^1(\mathbb{Q}, G)} \pi_\xi(D_\xi(\mathbb{Q})).$
- **$\text{Sel}^\pi(C)$** := $\{\xi \in H^1(\mathbb{Q}, G) : D_\xi \text{ has points everywhere locally}\}$
is **finite** (and computable). This is the **Selmer set** of C w.r.t. π .

(Fermat, Chevalley-Weil, ...)

If we find **$\text{Sel}^\pi(C) = \emptyset$** , then **$C(\mathbb{Q}) = \emptyset$** .

Abelian Coverings

A covering $D \rightarrow C$ is **abelian** if its Galois group is abelian.

Let J be the **Jacobian variety** of C .

Assume for simplicity that there is an **embedding** $\iota : C \rightarrow J$.

Then all abelian coverings of C are obtained from **n -coverings** of J :

$$\begin{array}{ccccc}
 D & \longrightarrow & X & \overset{\cong/\bar{\mathbb{Q}}}{\dashrightarrow} & J \\
 \pi \downarrow & & \downarrow & \nearrow \cdot n & \\
 C & \xrightarrow{\iota} & J & &
 \end{array}$$

We call such a covering an **n -covering** of C ;

the set of all n -coverings with points everywhere locally

is denoted **$\text{Sel}^{(n)}(C)$** .

Practice — Descent

It is feasible to compute $\text{Sel}^{(2)}(C)$ for hyperelliptic curves C (Bruin-St).

This is a generalization of the $y^2 = g(x)h(x)$ example, where all possible factorizations are considered simultaneously.

Example (Bruin-St).

Among the “small” genus 2 curves, there are only 1 492 curves C without rational points and such that $\text{Sel}^{(2)}(C) \neq \emptyset$.

Example (Bruin-St).

It appears that for large coefficients, there is a fraction of 7–8 % of all genus 2 curves C such that $\text{Sel}^{(2)}(C)$ is non-empty, but C has no rational points.

A Conjecture

These encouraging results motivate the following.

Conjecture 1.

If $C(\mathbb{Q}) = \emptyset$, then $\text{Sel}^{(n)}(C) = \emptyset$ for some $n \geq 1$.

Remarks.

- In principle, $\text{Sel}^{(n)}(C)$ is **computable** for every n .
Hence, the conjecture implies that “ $C(\mathbb{Q}) = \emptyset$?” is **decidable**.
(Search for points by day, compute $\text{Sel}^{(n)}(C)$ by night.)
- (For the experts:)
The conjecture implies that the **Brauer-Manin obstruction** is the **only** obstruction against rational points on curves.

An Improvement

Assume we **know generators** of the Mordell-Weil group $J(\mathbb{Q})$ (a finitely generated abelian group again).

Then we can restrict to n -coverings of J that **have rational points**.

They are of the form $J \ni P \mapsto nP + Q \in J$, with $Q \in J(\mathbb{Q})$; the shift Q is only determined modulo $nJ(\mathbb{Q})$.

The set we are interested in is

$$\{Q + nJ(\mathbb{Q}) : (Q + nJ(\mathbb{Q})) \cap \iota(C) \neq \emptyset\} \subset J(\mathbb{Q})/nJ(\mathbb{Q}).$$

We approximate the condition by testing it **modulo p** for a set of primes p .

The Mordell-Weil Sieve

Let S be a **finite set of primes** of **good reduction** for C .
Consider the following diagram.

$$\begin{array}{ccccc}
 C(\mathbb{Q}) & \xrightarrow{\iota} & J(\mathbb{Q}) & \longrightarrow & J(\mathbb{Q})/nJ(\mathbb{Q}) \\
 \downarrow & & \downarrow & & \downarrow \beta \\
 \prod_{p \in S} C(\mathbb{F}_p) & \xrightarrow{\iota} & \prod_{p \in S} J(\mathbb{F}_p) & \longrightarrow & \prod_{p \in S} J(\mathbb{F}_p)/nJ(\mathbb{F}_p) \\
 & & \searrow \alpha & & \\
 & & & &
 \end{array}$$

We **can compute** the maps α and β .

If their images do not intersect, then $C(\mathbb{Q}) = \emptyset$.

(Scharaschkin, Flynn, Bruin-St)

Poonen Heuristic/Conjecture:

If $C(\mathbb{Q}) = \emptyset$, then this will be the case when n and S are **sufficiently large**.

Practice — Generators

We can try to find generators of $J(\mathbb{Q})$ by **descent** again.

This is feasible for **hyperelliptic curves** when $n = 2$.

Large generators can be a problem, however.

Example (Bruin-St).

For $C : y^2 = -3x^6 + x^5 - 2x^4 - 2x^2 + 2x + 3$,

$J(\mathbb{Q})$ is infinite cyclic, generated by $[P_1 + P_2 - W]$,

where the x -coordinates of P_1 and P_2 are the roots of

$$x^2 + \frac{37482925498065820078878366248457300623}{34011049811816647384141492487717524243}x + \frac{581452628280824306698926561618393967033}{544176796989066358146263879803480387888},$$

and W is a canonical divisor.

The canonical logarithmic height of this generator is **95.26287**.

Practice — Mordell-Weil Sieve

A carefully optimized version of the Mordell-Weil sieve works well when $r = \text{rank}J(\mathbb{Q}) \leq 3$ and perhaps also for $r = 4$. For larger ranks, **combinatorial explosion** is a major problem.

Example (Bruin-St).

For all the 1 492 remaining “small” genus 2 curves C , a Mordell-Weil sieve computation **proves** $C(\mathbb{Q}) = \emptyset$.

(For **42** curves, we need to assume the Birch and Swinnerton-Dyer Conjecture.)

A Refinement

Taking n as a **multiple of N** ,
the Mordell-Weil sieve gives us a way of proving
that a given **coset** of $NJ(\mathbb{Q})$ does not meet $\iota(C)$.

Conjecture 2.

If $(Q + NJ(\mathbb{Q})) \cap \iota(C) = \emptyset$, then there are $n \in N\mathbb{Z}$ and S such that
the Mordell-Weil sieve with these parameters **proves** this fact.

So if we can find an N that **separates** the rational points on C ,
i.e., such that the composition $C(\mathbb{Q}) \xrightarrow{\iota} J(\mathbb{Q}) \rightarrow J(\mathbb{Q})/NJ(\mathbb{Q})$ is **injective**,
then we **can effectively determine $C(\mathbb{Q})$** if Conjecture 2 holds for C :

For each coset of $NJ(\mathbb{Q})$, we either **find** a point on C mapping into it,
or we **prove** that there is no such point.

Chabauty's Method

Chabauty's method allows us to **compute** a separating N when the **rank** r of $J(\mathbb{Q})$ is **less than the genus** g of C .

Let p be a prime of good reduction for C . There is a pairing

$$\Omega_J^1(\mathbb{Q}_p) \times J(\mathbb{Q}_p) \longrightarrow \mathbb{Q}_p, \quad (\omega, R) \longmapsto \int_0^R \omega = \langle \omega, \log R \rangle.$$

Since $\text{rank } J(\mathbb{Q}) = r < g = \dim_{\mathbb{Q}_p} \Omega_J^1(\mathbb{Q}_p)$, there is a differential $0 \neq \omega_p \in \Omega_C(\mathbb{Q}_p) \cong \Omega_J^1(\mathbb{Q}_p)$ that **kills** $J(\mathbb{Q}) \subset J(\mathbb{Q}_p)$.

Theorem.

If the reduction $\bar{\omega}_p$ **does not vanish on** $C(\mathbb{F}_p)$ and $p > 2$, then each residue class mod p contains **at most one** rational point.

This implies that $N = \#J(\mathbb{F}_p)$ is **separating**.

Practice — Chabauty + MW Sieve

When $g = 2$ and $r = 1$, we can easily compute $\bar{\omega}_p$.

Heuristically (at least if J is **simple**),
we expect to find **many** p satisfying the condition.

In practice, such p are easily found;
the Mordell-Weil sieve computation then determines $C(\mathbb{Q})$ **very quickly**.

Summary

- The case $g = 0$ is **solved completely**.

- For $g = 1$, we have a good (though not complete) theoretical understanding.

The theoretical obstacle lies in the **Shafarevich-Tate group**.

We **can do** quite something in practice;

the obstacle against progress lies in number theoretical computations.

- For $g = 2$, we can already do many things.

We can **verify** that $C(\mathbb{Q}) = \emptyset$ in many cases.

If we can find generators of $J(\mathbb{Q})$, we can apply the **Mordell-Weil sieve** and deal with more cases.

If $r \leq 1$, we can even **determine** $C(\mathbb{Q})$ in practice.

- For $g \geq 3$, we cannot do much yet.