



UNIVERSITÄT
BAYREUTH

Why I am doing L-series in Lean

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Rutgers Lean Seminar

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Where I Come From

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Theorem `pyth_triples_relprime_even_pos` :

```
forall x y z : Z, Zeven x -> z >= 0 -> rel_prime x y -> x^2 + y^2 = z^2  
-> exists r s : Z, rel_prime r s /\ x = 2*r*s /\ y = r^2-s^2 /\ z = r^2+s^2.
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- Got interested in Lean through watching one of Kevin's talks (IIRC)
and got addicted (ca. Feb. 2022)

Motivation

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Corollary 9.10. *Suppose that C/k is a smooth projective curve of genus 2 given by an integral Weierstrass model \mathcal{C} such that there are three nodes in the special fiber of \mathcal{C} . We say that \mathcal{C} is split if the two components A and E of the special fiber of \mathcal{C}^{\min} are defined over \mathfrak{k} ; otherwise \mathcal{C} is nonsplit. Let $v(\Delta) = m_1 + m_2 + m_3$ as above and set $M = m_1m_2 + m_1m_3 + m_2m_3$.*

⋮

(c) *If two of the nodes lie in a quadratic extension of \mathfrak{k} and are conjugate over \mathfrak{k} and one is \mathfrak{k} -rational, then*

$$\beta = \begin{cases} \frac{m_1}{M} \max \left\{ \left\lfloor \frac{m_1^2}{2} \right\rfloor + m_1m_3, \left\lfloor \frac{m_3^2}{2} \right\rfloor + m_1 \left\lfloor \frac{m_3}{2} \right\rfloor \right\} & \text{if } \mathcal{C} \text{ is split,} \\ \frac{m_1}{2} & \text{if } \mathcal{C} \text{ is nonsplit and } m_1 \text{ is even,} \\ 0 & \text{otherwise,} \end{cases}$$

where m_3 corresponds to the rational node (and $m_1 = m_2$).

Motivation

Proof. The proof of (a) follows easily from [Proposition 9.4](#).

For the other cases, note that in the nonsplit case some power of Frobenius acts as negation on the component group $\Phi(\bar{\mathfrak{k}})$, so the only elements of $\Phi(\mathfrak{k})$ are elements of order 2 in $\Phi(\bar{\mathfrak{k}})$, which correspond to $[B_{m_1/2} - C_{m_2/2}]$ if m_1 and m_2 are even (where μ takes the value $\frac{1}{4}(m_1 + m_2)$), and similarly with the obvious cyclic permutations.

In the situation of (c), we must have $m_1 = m_2$. If $P = [(P_1) - (P_2)] \in J(k)$ and $P_1 \in C(\bar{k})$ maps to one of the conjugate nodes, then P_2 must map to the other, so all $P \in J(k)$ must map to a component of the form $[B_i - C_j]$ or $[D_i - D_j]$. Now the result in the split case follows from a case distinction depending on whether $m_1 \leq m_3$ or not. In the nonsplit case, the only element of order 2 that is defined over \mathfrak{k} is $[B_{m_1/2} - C_{m_1/2}]$ if it exists.

In the situation of (d), the group $\Phi(\mathfrak{k})$ is of order 3 (generated by $[E - A]$) in the split case and trivial in the nonsplit case. \square

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New Goal: Teach more **number theory** to Lean!

For example: Get the **Hasse-Minkowski Theorem** into Mathlib!

Hasse-Minkowski

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Theorem.

Let $Q(x_1, \dots, x_n)$ be a non-degenerate quadratic form over \mathbb{Q} .
If Q has nontrivial zeros in all completions of \mathbb{Q} , then also in \mathbb{Q} .

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- ② and ③ are needed to go from $n = 3$ to $n = 4$ ($n \leq 2$ is easy).

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② and ③ are needed to go from $n = 3$ to $n = 4$ ($n \leq 2$ is easy).

I did some work on ② as my first larger Lean(3) project.

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- ③ **Non-vanishing** of $L(\chi, 1)$

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- ③ **Non-vanishing** of $L(\chi, 1)$
- ④ Some limits and asymptotics to glue things

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In this context, I have formalized what is necessary to reduce **PNT** to some version of the **Wiener-Ikehara Theorem**:

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In this context, I have formalized what is necessary to reduce **PNT** to some version of the **Wiener-Ikehara Theorem**:

```
open Filter Topology Nat in
/-- A version of the Wiener-Ikehara Tauberian Theorem: If `f` is a nonnegative arithmetic
function whose L-series has a simple pole at `s = 1` with residue `A` and otherwise extends
continuously to the closed half-plane `re s ≥ 1`, then `∑ n < N, f n` is asymptotic to `A*N`. -/
def WienerIkeharaTheorem : Prop :=
  ∀ {f : ℕ → ℝ} {A : ℝ} {F : ℂ → ℂ}, (∀ n, 0 ≤ f n) →
  Set.EqOn F (fun s ↦ L ∫ f s - A / (s - 1)) {s | 1 < s.re} →
  ContinuousOn F {s | 1 ≤ s.re} →
  Tendsto (fun N : ℕ ↦ ((Finset.range N).sum f) / N) atTop (ℳ A)
```

Non-vanishing

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```
/-- For positive `x` and nonzero `y` we have that
 $|L(\chi^0, x)^3 \cdot L(\chi, x+iy)^4 \cdot L(\chi^2, x+2iy)| \geq 1$ . -/
lemma norm_dirichlet_product_ge_one {N : ℕ} (χ : DirichletCharacter C N) {x : ℝ} (hx : 0 < x)
  (y : ℝ) :
  ||L ↗(1 : DirichletCharacter C N) (1 + x) ^ 3 * L ↗χ (1 + x + I * y) ^ 4 *
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  ||ζ (1 + x) ^ 3 * ζ (1 + x + I * y) ^ 4 * ζ (1 + x + 2 * I * y)|| ≥ 1 := by
  have ⟨h₀, h₁, h₂⟩ := one_lt_re_of_pos y hx
  simp only [one_pow, norm_mul, norm_pow, DirichletCharacter.LSeries_modOne_eq,
  | LSeries_one_eq_riemannZeta, h₀, h₁, h₂] using norm_dirichlet_product_ge_one χ₁ hx y
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  | LSeries_one_eq_riemannZeta, h₀, h₁, h₂] using norm_dirichlet_product_ge_one χ₁ hx y
```

```
/-- The Riemann Zeta Function does not vanish on the closed half-plane `re z ≥ 1`. -/
lemma riemannZeta_ne_zero_of_one_le_re {z : ℂ} (hz : z ≠ 1) (hz' : 1 ≤ z.re) : ζ z ≠ 0 := by
```

Deduction from WIT

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```
/-- The function obtained by "multiplying away" the pole of  $\zeta$ . Its (negative) logarithmic derivative is the function used in the Wiener-Ikehara Theorem to prove the Prime Number Theorem. -/
```

```
noncomputable def  $\zeta_1$  :  $\mathbb{C} \rightarrow \mathbb{C} := \text{Function.update } (\text{fun } z \mapsto \zeta z * (z - 1)) 1 1$ 
```

Deduction from WIT

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/-- The function obtained by "multiplying away" the pole of `ζ`. Its (negative) logarithmic derivative is the function used in the Wiener-Ikehara Theorem to prove the Prime Number Theorem. -/
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```
noncomputable def ζ₁ : ℂ → ℂ := Function.update (fun z ↦ ζ z * (z - 1)) 1 1
```

```
open Filter Nat ArithmeticFunction in
```

```
/-- The Wiener-Ikehara Theorem implies the Prime Number Theorem in the form that  $\psi x \sim x$ , where  $\psi x = \sum_{n < x} \Lambda n$  and  $\Lambda$  is the von Mangoldt function. -/
```

```
theorem PNT_vonMangoldt (WIT : WienerIkeharaTheorem) :
```

```
  Tendsto (fun N : ℕ ↦ ((Finset.range N).sum Λ) / N) atTop (nhds 1) := by
```

```
  have hnv := riemannZeta_ne_zero_of_one_le_re
```

```
  refine WIT (F := fun z ↦ -deriv ζ₁ z / ζ₁ z) (fun _ ↦ vonMangoldt_nonneg) (fun s hs ↦ ?_) ?_
```

```
  · have hs₁ : s ≠ 1 := by
```

```
    | rintro rfl
```

```
    | simp at hs
```

```
  | simp only [ne_eq, hs₁, not_false_eq_true, LSeries_vonMangoldt_eq_deriv_riemannZeta_div hs, ofReal_one]
```

```
  | exact neg_logDeriv_ζ₁_eq hs₁ <| hnv hs₁ (Set.mem_setOf.mp hs).le
```

```
  · refine continuousOn_neg_logDeriv_ζ₁.mono fun s _ ↦ ?_
```

```
  | specialize @hnv s
```

```
  | simp at *
```

```
  | tauto
```

L-Series

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When I started looking at this,
there was a rudimentary L-series package in Mathlib doing roughly this:

```
def LSeries (f : ArithmeticFunction ℂ) (s : ℂ) : ℂ :=  
  ∑' n : ℕ, f n / n ^ s
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where `ArithmeticFunction R` is a wrapper around $\mathbb{N} \rightarrow_0 R$.

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This makes mathematical sense, as the terms of a Dirichlet series have the form a_n/n^s for $n \geq 1$.

But that does not mean it is the best way to implement it in Lean!

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We would like to write (using notation from `ArithmeticFunction`)

- `LSeries` ζ ✓
- `LSeries` μ ✓
- `LSeries` \log ✗
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- `LSeries` χ for a Dirichlet character χ ✗

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Problem: There are coercions

- `ArithmeticFunction` $\mathbb{N} \rightarrow$ `ArithmeticFunction` R (for `[Semiring R]`)
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There does not seem to be a good way to set this up in the desirable generality.

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Problems:

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- Some API for \mathbb{N}_+ is missing
- Have to redo `divisorsAntidiagonal` for \mathbb{N}_+ (for Dirichlet convolution)

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We can solve the coercion problem as follows.

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scoped[LSeries.notation] notation:max "↗" f:max => fun n :  $\mathbb{N}$  ↗ (f n :  $\mathbb{C}$ )
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We can then write (abbreviating `LSeries` to `L`)

```
L ↗ζ,    L ↗μ,    L ↗Λ,    L ↗χ,    and it works!
```

L-Series Terms

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To get a clean separation of the implementation details and the L-series “logic”, we define

```
def LSeries.term (f :  $\mathbb{N} \rightarrow \mathbb{C}$ ) (s :  $\mathbb{C}$ ) (n :  $\mathbb{N}$ ) :  $\mathbb{C}$  :=  
  if n = 0 then 0 else f n / n ^ s
```

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def LSeries.term (f :  $\mathbb{N} \rightarrow \mathbb{C}$ ) (s :  $\mathbb{C}$ ) (n :  $\mathbb{N}$ ) :  $\mathbb{C}$  :=  
  if n = 0 then 0 else f n / n ^ s
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@[simp] lemma term_zero (f :  $\mathbb{N} \rightarrow \mathbb{C}$ ) (s :  $\mathbb{C}$ ) : term f s 0 = 0 := rfl
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def LSeriesHasSum (f :  $\mathbb{N} \rightarrow \mathbb{C}$ ) (s a :  $\mathbb{C}$ ) : Prop := HasSum (term f s) a
```

```
def LSeriesSummable (f :  $\mathbb{N} \rightarrow \mathbb{C}$ ) (s :  $\mathbb{C}$ ) : Prop := Summable (term f s)
```

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lemma term_convolution (f g :  $\mathbb{N} \rightarrow \mathbb{C}$ ) (s :  $\mathbb{C}$ ) (n :  $\mathbb{N}$ ) :  
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  LSeriesHasSum (f  $\otimes$  g) s (a * b) := by  
  simp only [LSeriesHasSum, term_convolution']  
  have hsum :=  
    summable_mul_of_summable_norm hf.summable.norm hg.summable.norm  
  exact (HasSum.mul hf hg hsum).tsum_fiberwise (fun p  $\mapsto$  p.1 * p.2)
```

Thank You!